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#151 RCE  
6/4/03  
T Moore

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/745,959
Filing Date	December 21, 2000
First Named Inventor	Mareike Katharine Klee
Art Unit	2817
Examiner Name	Takaoka, Dean O.
Attorney Docket Number	PHD99,195

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114**

- a.  Previously submitted
  - i.  Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
  - ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - iii.  Other \_\_\_\_\_
- b.  Enclosed
  - i.  Amendment/Reply
  - ii.  Affidavit(s)/Declaration(s)
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other \_\_\_\_\_

**2. Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months. Fee under 37 CFR 1.17(i) required)
- b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 14-1270
  - i.  RCE fee required under 37 CFR 1.17(e)
  - ii.  Extension of time fee (37 CFR 1.136 and 1.17)
  - iii.  Other \_\_\_\_\_
- b.  Check in the amount of \$ \_\_\_\_\_ enclosed
- c.  Payment by credit card (Form PTO-2038 enclosed)

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01 FC:1801 750.00 CH

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Jeffrey V. Kaplan	Registration No. (Attorney/Agent)	34,356
Signature	[Signature]		
	Date	May 20, 2003	

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Fern Pekarofski	Date	May 20, 2003
Signature	[Signature]		

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#16/Amndt  
6/4/03  
C. Moore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Mareike Katharine Klee, et al.  
Title of Invention : FILTER ARRANGEMENT  
Filed : December 21, 2000  
Serial No. : 09/745,959  
Group Art Unit : 2817  
Examiner : TAKAOKA, Dean O.  
Docket No. : PHD 99,195

Mail Stop RCE  
Commissioner for Patents  
Alexandria, VA 22313-1450

AMENDMENT

SIR:

This RCE is responsive to the final Office Action dated February 21, 2002, in connection with the above-referenced patent application.

IN THE CLAIMS:

Applicants have further amended independent claims 1 and 8 as shown in the accompanying marked-up version. Below is a clean version of all the claims with their status.

1. (Fourth Time Amended) A filter arrangement which comprises a substrate (1) on which are provided a bandpass filter and a notch filter, which filters are coupled to one another, wherein both the bandpass filter and the notch filter are thin-film filters, and the notch filter does not generate a passband.
2. (Original) A filter arrangement as claimed in claim 1, characterized in that the